

**S & P's Security Dealers of North America, 2000.**

**Business Reference Area 332.62025 STA** Lists brokers, dealers, participating distributors, underwriters & originators of securities. Information for headquarters locations includes areas of specialization, officers & other personnel and states where registered, as well as branch locations, which have separate brief entries.



Northbrook  
Public Library

TAKING CARE OF BUSINESS

# INVESTMENTS



Investment  
Resources: A Guide  
to Finding  
Information on  
Securities

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## Online Resources:

### Subscription Databases

#### Business & Company Resource Center

**\*Available within the library and remotely with a Northbrook Library card.** An online research database that provides up-to-date information on more than 300,000 companies in the US. Includes company profiles, investment reports, financial status, industry reviews, and associations.

#### Morningstar

**\*Available within the library and remotely with a Northbrook Library card.** Get investment information using three services on Morningstar: the Screener, to search for stocks, funds and ETFs that match your requirements; Reports, to retrieve in-depth information and analyst opinion on securities; and the Portfolio X-ray, which allows you to input stocks and/or mutual funds to understand how they work together.

#### Reference USA

**\*Available within the library and remotely with a Northbrook Library card.** Search for detailed information on over 12 million businesses in the United States, including the address/ phone/fax numbers, credit rating, names of executives, number of employees, sales volume, headquarters/branch locations, NAICS/SIC, and industry. Allows users to create a custom search using a variety of variables. Also contains residential information on over 102 million households.

#### Standard & Poor's NetAdvantage

**\*Available within the library and remotely with a Northbrook Library card.** A single source for S&P's investment research and commentary. Includes *Industry Surveys*, *Stock Reports*, *Mutual Fund Reports*, *Bond Reports*, *Corporation Records*, *Register of Corporations*, *Directors and Executives*, and *The Outlook*.

long-term rating for bonds that have been placed under special surveillance due to events or trends such as mergers, recapitalizations, voter referendums, regulatory action or anticipated operating developments. Implications are termed 'negative,' 'positive' or 'developing.'

### Investment News

**Dick Davis Digest:** Provides expert research on the most promising stock and mutual fund ideas in the market.

**Hulbert Financial Digest:** Provides objective performance data on a wide variety of strategies.

**Kiplinger's Personal Finance:** Provides information on savings and investments, taxes, money management, home ownership, and other personal financial issues.

**Kiplinger Washington Letter:** Provides brief forecasts of current political and economic issues.

**Moneyletter:** Covers mutual funds, including model portfolios and scorecards and recommendations.

**No-Load Fund Investor:** Covers 995 funds, including stock and bond fund performance comparisons and best buys.

**Zacks Advisor:** Offers stock picks, insights and earnings research for the individual investor.

**The Northbrook Library also subscribes to several financial newspapers--**

**The Wall Street Journal, Investor's Business Daily and Barron's--**as well as a wide variety of magazines on business and financial topics can be found in the Current Business Periodicals reading area; back issues are kept at the Periodicals Desk.

### Services

**Standard & Poor's Directory of Registered Investment Advisors, 2003.**

**Business Reference Area 332.62 DIR** Arranged alphabetically by state. Entries provide names, phone and fax numbers, addresses, client contacts, total managed assets, tax-exempted assets, taxable assets, international investment, portfolio managers, services offered, and fee schedule. Includes listings and indexes.

styles.

### **Morningstar Funds 500**

**Business Reference 332.6327 MOR** Guide to 500 quality funds. Provides full-page data on each fund, including narrative analysis, 8-year performance data, historical data, portfolio analysis, operations and addresses and phone numbers.

### **Value Line Mutual Fund Survey** (biweekly)

**Business Reference Area 332.6327 VAL**

**Summary & Index** indexes the set & provides charts of the highest ranked funds by category, funds with high overall ranks & best performing funds.

**The Main Section** offers an overview of each type of fund followed by full-page descriptions of funds within each group. Information includes a narrative on recent developments, strategies & management style and data on total return, performance & portfolio holdings. Value Line also gives each fund an overall rank and risk rank.

### **TheStreet.com Ratings' Guide to Closed-End Mutual Funds** (quarterly)

**Business Reference Area 332.6327 THE**

### **TheStreet.com Ratings' Guide to Bond and Money Market Mutual Funds** (quarterly)

**Business Reference Area 332.6372 THE** Contains independent ratings and analysis on over 4,200 fixed income funds.

## **Bonds**

### **Mergent Bond Record** (monthly, with annual compilation)

**Business Reference Area 332.6323 MER**

Covers corporate, convertible, government and municipal bonds. Data provided includes date & price of issue, date of maturity, interest dates, current call price, rating, yield to maturity, high & low sale price, amount outstanding, and indication of sinking fund provision.

### **S & P's Credit Week** (weekly)

## **Value Line Investment Survey**

**Available only within the library.** Information on company and industry stocks, mutual funds, and stock recommendations for investors. Contains the same information provided in the print edition. Allows users to create their own stock screenings and custom reports.

**\*To access these databases remotely, go to [www.northbrook.info](http://www.northbrook.info), click on the "Library" tab and select "Online Databases" from the drop-down menu. To gain access to a specific database, click on the name and enter your library card number, without spaces, when requested.**

## **Internet**

**Big Charts:** [www.bigcharts.com](http://www.bigcharts.com)

**Yahoo Finance:** <http://finance.yahoo.com>

These two sites provide a wide variety of information for investors, including current & historical quotes, company & industry news and financial data, annual reports, SEC filings, links to brokerage reports and stock analysis & comparisons.

## **Print Resources:**

### **Stocks**

### **Value Line Investment Survey** (updated weekly)

**Ask at Reference Desk/Also available online within the library.** Covers approximately 1700 Stocks in over 95 industries.

**Ratings & Reports**, the main section, provides an overview of each industry and full-page, detailed analyses of companies within the industries. Company profiles include a business description and a narrative analysis of the current position and outlook. Financial data includes recent price, price/earnings ratio, dividend yield, capital structure, current assets & liabilities, 5-year quarterly sales, 5-year earnings per share and 5-year quarterly dividends paid. Value Line also provides unique Timeliness Ratings--showing the projected price performance for the next 12 months--and Safety Ratings--measuring the amount of fluctuation in price over time.

**Summary & Index**, in addition to indexing the set, provides various

tables and rankings including industries in order of timeliness, stocks moving up or down in timeliness, timely stocks in timely industries, best & worst performers, highest growth stocks and untimely stocks.

**Selection & Opinion** is a newsletter covering trends and outlooks in the market. One stock is highlighted in each issue.

**Small & Mid-Cap edition** Covers mainly NASDAQ stocks in a similar format.

**Mergent's Dividend Achievers** (quarterly)

**Business Reference Area 332.63221 MER** Profiles about 300 companies that have increased their regular cash dividends annually for the past ten or more consecutive years.

**Morningstar Stocks 500**

**Business Reference Area 332.6322 MOR/Circulating copy available 332.6322 MOR** Independent, detailed reports on 500 of the most popular stocks. Includes Morningstar Rating for Stocks, fair value estimates, economic moat ratings, corporate governance grades, and buy/sell prices.

**Standard & Poor's Stock Reports** (updated irregularly)

**Business Reference Area 332.632 STA** Comprehensive 2-page reports on over 4100 companies traded on the 3 major U.S. exchanges--NYSE, American Stock Exchange & NASDAQ--and other regional and international exchanges. Important features of each report are a detailed listing of key income statement and balance sheet items and an analysis of the most recent earnings report. In addition, the reports provide data on dividends, stock price ranges, capitalization, management and significant recent developments. Also includes a full description of the company's business, with comments on major product lines, the marketing organization, research & development efforts, etc.

**S & P's Stock Guide** (updated monthly)

**Business Reference Area 332.6323 STA** Compact summary of financial data on over 7,000 issues including common & preferred stocks, closed-end funds, mutual funds and variable annuity & life investments.

**S & P's Outlook** (weekly)

**Reference Desk** Weekly newsletter offering investment advice. Articles discuss specific stocks, investment strategies and the general eco-

nomical outlook. Charts show recommended stocks by varying investment objectives, e.g., aggressive, conservative, long-term growth, small- & mid-cap stocks, etc. Outlook also closely monitors 800 stocks called STARS (Stock Appreciation and Ranking System) and regularly offers buy & sell recommendations, information on rising & falling STARS, best performing stocks, etc.

**S & P's Dividend Record** (quarterly)

**Business Reference Area 332.63 STA** Presents dividend announcements, including amount & dates.

**S & P's Directory of Dividend Reinvestment Plans** (annual)

**Business Reference Area 332.6 STA** Listings include special features of each plan; the minimum & maximum payment and timing of optional cash purchases; the year cash dividend payments began; current indicated annual dividend; percent increase over several years; an S & P quality ranking; and annual rate of return for a \$10,000 investment.

**TheStreet.com Ratings' Guide to Stock Mutual Funds** (quarterly)

**Business Reference Area 332.6327 THE** A quarterly compilation of mutual fund ratings and analysis covering equity and balanced funds.

**Directory of Obsolete Securities**

**Business Reference 332.67 DIR** Contains brief profiles of banks & companies whose original identities have been lost as a result of name change, merger, acquisition, dissolution, reorganization, bankruptcy or charter cancellation.

## **Mutual Funds**

**Morningstar Mutual Funds** (biweekly)

**Reference Desk**

**Summary Section** indexes the set but also provides data and commentary. Profiles of featured funds and rookie funds, lists of top funds, equity funds, benchmark averages, performance close-ups, closed-end leaders & laggards, and closed-end premium/discount highlights.

**Main Section** provides full-page data on each fund including a narrative analysis, 5-year performance data, historical data, risk analysis, portfolio analysis, names of portfolio managers & current investment